Guidance on Shafts for Smoke Control

A useful guide to practical smoke shaft principles and specifications in single-shaft systems of 20 storeys or less
Smoke shafts - an overview

Scope of this guide

‘Smoke shaft’ is the common term for ventilation systems in the lobbies of tall buildings, used to maintain tenable conditions in the common escape routes in the event of a fire in the building.

This document is a practical guide to the implementation of smoke shaft systems for regular multi-storey buildings up to 20 storeys in height. For mechanical ventilation, those with a single shaft extracting from a lobby with make up air being drawn from the stairwell.

NOTE - Complex bespoke designs, for example those using twin shafts with reversible fans, fall outside the scope of this guidance and the design of such would require the services of a suitably qualified fire engineer.
Smoke shafts - origins

Smoke shafts originated from research carried out by BRE and presented in a report in 2002 entitled ‘Smoke Shafts Protecting Fire Fighting Shafts, Their Performance and Design’. This report specifically looked at fire-fighting shafts and proposed natural ventilation – with the output being commonly known as the ‘BRE Shaft’.

The desire to reduce the space occupied by the ventilation system led to the development and common acceptance of mechanically ventilated shafts to provide both fire fighting and means of escape protection.

In Common Usage
Such systems are now the most commonly employed smoke control measure for high-rise buildings, overtaking the other available approaches: automatic opening vents and pressurisation.
Standards

Guidance for natural smoke shafts is contained in **paragraph 2.26** of **Approved Document B** of the **Building Regulations**.

Mechanical smoke shafts do not yet appear in the Building Regulations and are treated as a fire safety engineered approach. This means that although they are now very common, they are still considered as a one-off solution for a specific building with Building Control approval being required in each case.

As there is no single common standard applying to these products they are typically approached using the appropriate parts of several related documents.

In addition, the Smoke Control Association document **Guidance on ‘Smoke Control to Common Escape Routes in Apartment Buildings’**, published in 2012, offers a comprehensive guide to smoke shaft applications for residential buildings.

This guide interprets the current standards to offer a simple and reliable route to a robust solution for most common applications.
Approved Document B (ADB) of the Building Regulations is applied to the stairwell ventilators, lobby ventilators, system triggering method and ventilator free area measurement.

European Standard 12101 Parts 6, 7, 9, and 10 are referenced for fans, ducts, control equipment and power supplies.

PD 7974-6:2004 is used to identify acceptable conditions for the escape of occupants of buildings.
Smoke shaft principles

Smoke shafts are essentially a simple ventilation system designed to extract any smoke leaking into a common lobby to protect the escape stairs. Typically a vertical builders’ work duct rising through the building would be used to extract smoke from the lobbies and each lobby would have a damper connected to the builders work duct.

For natural shafts, the head of the shaft is terminated with an automatic opening ventilator. Mechanical shafts use extract fans, mounted on the roof and connected to the builders work duct with sheet metal ducting. An automatic opening ventilator is mounted at the top of the stairwell and the complete system would be controlled by an addressable control system that provides automatic operation of the ventilation by interface with the fire alarm system or smoke detectors.

Fire fighting

For buildings with a storey over 18m high, fire fighting access would also need to be taken into account. This would usually mean that the system is designed to cope with the door to the fire room being open to the lobby, representing fire fighting conditions. In practice this simply means that a higher extract volume flow rate for mechanical systems. Typically the required conditions within the lobby would be based on the tenability criteria in PD7974 part 6.

The tenability criteria described in the guidance are:

Visibility (5m for small enclosure and 10m for large enclosure - extended travel distance would require a 10m visibility)

Temperature (smoke temperature is less than 120°C - some say 60°C in a moist environment)

And a requirement by London Fire Brigade that the lobby/corridor returns to a smoke free environment within 2 minutes of the last occupant’s escape through the stair before the onset of fire fighting. BS 7974 recommends design fire sizes for a range of applications.

For natural systems, a larger smoke shaft (3.0m²) may be required for non-residential buildings with larger ventilators (1.5m²) in the lobbies.
Computational Fluid Dynamics (CFD) are often used to ascertain the volume flow rate required to maintain the design conditions within the lobby; this was essential in the early days of adoption of such systems as each situation was in effect a new scenario. However, after more than five years of common usage, there is a bank of data available to inform such selection for most buildings, particularly residential where one lobby is very similar to another.

At SCS Group we have available data from dozens models and have aggregated this into a matrix to develop suggested extract rates for buildings within the parameters of this guide.
Replacement air

The automatic opening ventilator above the stairwell is used to provide replacement air for the smoke shaft. There is a risk of lobby depressurisation when using mechanical extract in confined spaces like residential buildings, which could make is difficult to open exit doors from the lobby. Common methods to overcome this are pressure sensing fan control, reverse hanging the stair/lobby door or automatically controlling the stair/lobby door.

Pressure sensing fan control monitors the pressure between the stair and lobby and controls the extract fan speed such that the maximum pressure difference remains within acceptable levels. Automatic opening of the stair/lobby door uses a motorized actuator or door closer to open the stair door, usually a small distance, to ensure a flow of air into the lobby without allowing smoke to enter the stair.

It is possible to hang the stair/lobby door such that it opens into the lobby. When the extract system is operating the pressure difference is used to suck the door open allowing fresh air to enter the lobby. The pressure at which the door is pulled open is set by adjusting the door closer.

This can be a simple and effective solution if it is acceptable for the exit doors to open against the escape travel direction, but it is not suitable for buildings with extended travel distances where guaranteed replacement air is required.

To ensure effective smoke clearance, the extract shaft should be located as far away as practicable from the stairwell, which is the source of replacement air. This is particularly important in buildings with extended travel distance where the exhaust position would ideally be at least 5m away from the stairwell vent to prevent smoke being drawn into the building.

There is no risk of depressurisation with natural smoke shafts.
Heat build up in corridors, particularly in residential buildings with energy centres providing heating, can be problematic and it is possible to use the smoke control system to dissipate some of this heat.

There are various approaches in use, from running the smoke fans at low speed and opening the smoke lobby dampers proportionately, to adding smaller environmental fans and dedicated dampers above the ceiling. Such approaches are obviously limited by the outside air temperature and are not guaranteed to reduce corridor temperature in all conditions. If this is likely to be a significant issue then a thermal model of the building should be undertaken and appropriate cooling measures implemented which would be outside the scope of this document.

A typical pragmatic approach to ventilation using the smoke control equipment would be to add a temperature control function to the control strategy such that ventilators are opened on excess temperature in a predetermined sequence to evacuate heat. For mechanical ventilation, the smoke fans would be inverter controlled and run at low speed to deliver a notional air change rate within the lobby, typically 4 air changes. Automatic rain sensing control would also be required to prevent the stairwell ventilator opening in poor conditions.

All day to day ventilation functions must be overridden in an emergency condition.
**System components**

**Builder’s work shaft:**

The extract shaft or duct shall meet the requirements for fire resistance for a period at least equal to the highest period of fire resistance through which the ductwork passes, when tested and classified in accordance with prEN 13501-3. In practice this will usually mean a minimum of 1 hour fire resistance.

The internal surface should be smooth and the maximum air leakage should be $3.85m^3$/hour at 50Pa pressure difference, as specified in the pressurisation standard EN12101 Part 6. A pressure test should be undertaken to prove the leakage prior to installation of the system.

For mechanical shafts, the minimum free area is typically $0.6m^2$ with an aspect ratio of 2:1 with the shaft rising vertically with minimal changes in direction or shape throughout its travel. The recommended size for ease of connection to roof extract equipment is 800mm x 800mm.

For natural shafts ADB specifies a minimum internal free area of $1.5m^2$, with a minimum dimension in any direction of 0.85m. The recommended internal shaft dimensions for ease of roof vent sizing are $1.2m \times 1.3m$. Where there is a risk of falling into the shaft then floor grids may be required at intermediate levels and these should maintain a minimum free area of $1.0m^2$. Shafts should extend a minimum of 2.5m above the ceiling of the highest floor, be at least 0.5m above and 2.0m distance from any roof structures. In non-residential buildings requiring fire fighting protection (those with a storey above 18m) the shaft free area required is $3.0m^2$ (recommended dimensions $1.5m \times 2.0m$) and the construction should be 2hr fire resistant.
Smoke exhaust plant:
For mechanical shafts, extract fans should comply with EN12101-03 and a standby fan is required in case of fan failure. The selection of the appropriate temperature rating should be dictated by the results of any design calculations or cfd modeling, however, based on previous project data, a rating of 300 deg C for 1 hour will be suitable for most residential situations.

Ventilators at the head of natural shafts should be to the same standard as stairwell ventilators, complying with EN12101-02. For residential buildings a free area of 1.0m² is required, while for fire fighting shafts the free area should be 1.5m².

Stairwell ventilator:
The ventilator above the stairwell will primarily be used as an air inlet for the smoke shaft and should have a minimum free area of 1.0m² when measured in accordance with diagram C7 of ADB. The ventilator should comply with EN12101-02.

A louvred, actuated design is ideal, with insulation to remove the issue of condensation, and of sea water and corrosion-resistant construction. A wind baffle will improve performance.
Lobby ventilators:
The ventilator connecting the lobby to the builder's work shaft may be a door type or a damper. The basic requirements are for it to open on the fire floor to exhaust smoke and for the remaining floors to remain closed, preventing smoke spread and maintaining fire compartmentalisation. There is no specific standard for these products so the two common approaches are to use an E30Sa fire door (with an electrical actuator) or a smoke damper, neither of which will be fully certified for the application but which offer pragmatic solutions.

The actuators should be drive open, drive closed rather than a spring-return type.

For natural shafts in all residential buildings the free area of the lobby ventilator is 1.0m². For fire fighting smoke shafts, the ventilator free area is increased to 1.5m².

In mechanical systems, the free area is calculated according to the required extract volume, and is typically around 0.6m².

The ventilator should be positioned as close to the ceiling as possible within the lobby, and at least as high as the top of the door form the lobby to the stairwell.
Control system:
The control system should comply with EN12101-09 where applicable, and sensitive equipment such as inverters and PLCs should be located out of the fire zone.
The control system may be designed specifically for the building, or be a modular standardised product that can be configured to the building. Most residential applications will suit the modular approach, with local zone control panels located throughout the building communicating with a central processor usually located at the fan position, and a HMI panel at a convenient location that is used for commissioning and testing.
Triggering of the system may be from dedicated smoke detectors purely for the operation of the smoke control system, or through interface with a building smoke detection system compliant with BS5839 part 1, L5 classification.
Manual call points should be orange and, where located adjacent to a ventilator on a fire floor, should simulate an alarm on that floor.
Manual control switches for fire fighter use should be located adjacent to the fire service access point and be clearly labelled ‘Smoke Extract’. Where the system incorporates a higher extract duty for fire fighting access, manual boost switches should be positioned on each floor for fire brigade use.

Power supplies and wiring:
The system should have a secondary power supply in case of mains failure in accordance with EN12101-10. This may be from either an independent electricity utility supply or a generator back up supply. Electrical wiring should be of a suitable temperature rating for the application. For most residential systems FP200 or equivalent is suitable for sensors and devices, while FP400 is commonly used for power supplies to extract plant.
Installation should be undertaken by a competent contractor who understands the working relationship of each installed element of the shaft system. Prior to handover, the commissioning process needs to be able to prove the effectiveness of the system in a variety of test operation scenarios, in accordance with the agreed ‘cause and effect’.

Guidance exists to govern the quality of installation and the extent and scope of commissioning:

**BS 7346-8:2013 Part 8 - Installation:**
“The nature and quality of the installation work needs to be such as to ensure the integrity of the smoke control system and minimise the duration and extent of any disablement of the system during maintenance or modifications.

Penetration of construction (e.g. for the passage of cables, conduit, trunking or tray) ought to be made good to prevent the free passage of fire or smoke, regardless of whether the construction has a recognised degree of fire resistance.”

**BS 7346-8:2013 Part 8 - Commissioning:**
“The process of commissioning involves thorough testing of the installed smoke control equipment, including interactions with other systems.

The responsibility of the commissioning engineer is to verify that the system operates in the manner designed and that the installation workmanship is of an adequate standard. It is therefore necessary for the commissioning engineer to be provided with the agreed specification for the system.”
The Regulatory Reform (Fire Safety) Order 2005 (RRO) dictates that a building’s “responsible person” (generally a building owner, manager or FM) has to ensure proper operational service and maintenance of smoke control systems.

Smoke shafts are life-critical aspects of a building’s operation so their proper maintenance is vital. Many components come under the scheduled service recommendations of BS 9999, and the latest standard on smoke control (BS 7346-8:2013 Part 8) states that:

“Smoke control equipment should only be maintained by a competent person with specialist knowledge of smoke control systems, adequate access to spares and sufficient information regarding the system.”

It is important to bear in mind the fact the smoke control systems are more than just a parts list. While one aspect may be apparently operational, it must also be suitably operational in relation to the rest of the system.

Software maintenance, too, is important, and the latest updates should always be installed to ensure maximum performance.
Examples - Univent mechanical shaft

Mechanical shaft systems are particularly suitable for buildings where space constraints prevent the use of simpler natural solutions.

A provisional design can be achieved in minutes by selecting modular components from a product selector tool.

- Builder’s work shaft
- Lobby vent
- Roof extract unit
- Control system
Natural shaft systems rely on the buoyancy of hot smoke and the inlet of fresh air to extract smoke in the case of a fire. With mechanical intervention, the shaft will generally require a larger footprint than the equivalent mechanical system.

A simple system will typically comprise:

- Builder’s work shaft
- Lobby vent
- Roof vent
- Control system
The stairwell ventilator is a low profile automatic opening louvre or hatch with an opening angle of 120 degrees, to minimise wind effects.

It should be manufactured from corrosion-resistant aluminium and be fully insulated, with a geometric free area of 1.0m².

The control kit should comprise a local control panel with a battery-backed 24v DC supply and two remote control switches for positioning at the top and bottom of the staircase.

The control panel accepts a signal from lobby ventilators to automatically open with lobby ventilation.